# On contraction in Mongolian hP＇ags－pa documents 

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The he＇ags－pa script is the system of writing used during the Yuan dynasty after Yuan Shizu Khubilai ordered the National Teacher（國師） hP＇ags－pa to create it．After its introduction in 1269，the hP＇ags－pa script was in use throughout the Yuan dynasty，during a period of more than one hundred years．The surviving documents show that the hP＇ags－pa script was used to record many languages，including Mongolian，Chinese， Sanskrit，Tibetan，and Uighur．Materials in the hP＇ags－pa script are today preserved in a number of museums，libraries，and archives in China， Mongolia，Russia，Great Britain，Germany，France，Hungary，Turkey， Japan，and Korea．

The study of these materials was initiated by Nicholas Poppe，who published a pioneering monograph on the topic under the title The Mongolian monuments in hP＇ags－pa script（1957，translated and edited by John R．Krueger，from the Russian original of 1941）．After Poppe， Louis Ligeti published his Monuments en écriture＇phags－pa（1973），and later other scholars from various countries have also contributed to our understanding of the ḥP＇ags－pa script．

The greatest number of hP＇ags－pa documents is，not surprisingly， preserved in China．Many Chinese scholars have therefore worked on the hP＇ags－pa script．Most importantly，the Mongolist Junast（Zhaonasitu），a leading researcher at the Institute of Nationality Studies of the Chinese Academy of Social Sciences and the editor in chief of the journal Minzu Yuwen，has collected an extensive corpus of ḥP＇ags－pa materials and published a two－volume monograph on them under the English title The hP＇ags－pa script and Mongolian monuments（八思巴字和蒙古語文獻，published in Japan in 1990）．This work contains a systematic analysis of altogether forty separate documents written in the hP＇ags－pa script．Junast has also published a number of other monographs and specialized articles on the hP＇ags－pa script．

An important orthographical property of the ḥP＇ags－pa script，as applied to record Mongolian，is that syllables are normally written as separate graphic entities，as in the following phrase：
hP＇ags－pa script
hP＇ags－pa transliteration
hP＇ags－pa transcription
Mongolian script
Mongolian transcription
 mong $g$ dėŋ ri yin ku čü dur
mon－ga dèg－ri－yin ku－čü－dur

möngke tngri－yin küčü－dür

However，the ḥP＇ags－pa documents also contain certain cases in which two syllables are contracted into one．The examples below are arranged according to the document number（No．）as listed by Junast：${ }^{1}$

No．$\quad \underset{\text { hP＇ags－pa }}{\text { script }}$
（i）

| § 1 | E¢પు | q＇n | Smer | qayan |
| :---: | :---: | :---: | :---: | :---: |
| § 2 | 19 턴ㄴ | u－1－ | Fonvo | ulaya |
| § 3 | 風 区 以凹 H | tm－q－d－－č | －minol in | tamyad－aca |
| § 7 | 田 틴ㅈ | č－q＊ |  | cayan |
| § 16 | Ш З | ǰ－y－t＇u | דएִי\％ | jayayatu |
| § 25 | 任 | si－t＇－qu |  | sitaraqu |
| § 28 | 19 एबार स山ை | u－lus－d＇n | Tuton amer | ulus－tayan |
| § 28 |  | bos－q．－＇d | Ofmexy | bosqayad |
| § 28 | ய उாைర | j-y ＇n | mumy | jayayan |
| § 28 |  | noq－r（d）＇－su | चिणनापणी | onordabasu |
| § 29 | Ш6\％ㅌㅐㅔ | jur－q＇n | mevt | juiryan |
| § 29 | แ凹 ठड | y＇－nu | जemo 6 | ǰaүan－u |
| § 29 |  | k＇i－ǰ＇－r | กניয়｜ | kija arar |
| § 29 |  | j-y －- ＇＇n |  | jayaratan |
| § 29 |  | t＇o－do－rq－s＇r | Qubrmmumin | todoraysayar |
| § 35 | 10 ET世 R सo | u－q－t＇n | 10mentorl | uqayatan |
| § 35－1 |  | šint＇t＇－r＇su | नुण्यणिए | šintarabasu |
| §35－2 | E9 Kく 3世 K¢ | qu－ri－y＇－su | Stmentm | quriyabasu |

（ii）

| $\S 1$ | 凹く เฺவைர |
| :---: | :---: |
| § 1 |  |
| § 1 | F［1unc |
| § 2 | FIMS 19 |
| § 12 | tux |
| § 3 |  |
| § 4 | t⿴囗⿰丨丨丁口内 |
| § 17 |  |


| hP＇ags－pa | Mongolian | Mongolian <br> transcription |
| :--- | :--- | :--- |
| transliteration | script |  |


| －i－h＇en | Hond | ibegen |
| :---: | :---: | :---: |
| g＊ek－deg－sed | Ofimmiol | kemegdegsed |
| g ＇en | Oiv | kemen（g－） |
| g．e－ju | 0 | keměü |
| k＇＇e－ju | $\bigcirc$ | kemeјй |
| šil－d＇e－dun |  | šildeged－ün |
| ge－run | Onol | kemerün |
| ge－g＇en | mad | gegen |


| § 19 | F－WICS | k＇${ }^{\text {e－su }}$ | OHMO | kemebesü |
| :---: | :---: | :---: | :---: | :---: |
| § 20 |  | $\mathrm{g} \cdot \mathrm{e}-\mathrm{Cu}-\mathrm{lu}-\cdot \mathrm{ed}$ | ¢50tonol | kemegülüged |
| § 21 |  | g＇ek＇－sen |  | kemegsen |
| § 28 |  | k＇e－k＇u |  | kemekü |
| § 28 | सur No | d＇e－du | ammo | degedü |
| § 28 | Hus MS | d＇e－re | $0 \mathrm{man} y$ | deger－e |
| § 32 |  | bu－ši－r＇e－su |  | büširebesü |
| § 36 | Fur ex | g＇e－k＇ué | Omoc | kemeküi |
| （iii） |  |  |  |  |
| § 1 | सख⿹勹巳 E EgT | d＇ul－q－que | Qumbentor | duүulyaqui |
| （iv） |  |  |  |  |
| § 4 |  | no－yd－lu＊ | ？ | noyad－lur－a |
| § 10 | 15 जाठ पٓ冖⿺𠃊 | h－rn－lu＊ | mer tory | aran－lu\％－a |
| 514 | 3 п¢【 | y－bu＇－su | STOOMG | yabubasu |
| § 35 |  | č－du＇－su | गुण्णी | čadubasu |
| § 35 | 19\％ESTM KT | ur－qu＇－su | 10x！ | uryubasu |

In these examples contraction is indicated in the hP＇ags－pa script by the letter R（ $\cdot$ ）．Since such examples are recurrent，contraction cannot be an accidental or occasional phenomenon－rather，it is a question of a systematic convention of spelling reflecting a regular feature of the underlying language．

The examples above have been arranged into four categories（i to iv）， depending on which vowel letter，if any，follows or precedes the letter indicating contraction．In the first category（i）no overt vowel letter is present，in the second and third categories（ii and iii）the letter indicating contraction is followed by the vowels $\mathbf{e}$ and $\mathbf{u}$ ，respectively，while in the fourth category（iv）it is preceded by the vowel $\mathbf{u}$ ．

Observing these four types of contraction，it is crucial to understand the orthographical functions of the letter 『（•）．Concerning this particular detail，Poppe writes：${ }^{2}$
«As the hP＇ags－pa script is syllabic，each consonantal letter represents not only the sound of a consonant，but also an entire syllable，consisting of that consonant plus the vowel $a$ ，e．g．，§ 2 stands not for $b$ but $b a$ ，§ 6 not for $t^{\prime}$ but $t^{\prime} a$ ，§ 10 not for $l$ but $l a$ ；and so on．»
«A final consonant of a closed syllable is transcribed by the same sign which serves to render that consonant in combination with $a$ ，i．e．，§ $2, \S 10$ ，etc．，except that a consonant not forming an independent syllable is written joined together with the syllable to which it relates，while independent syllables are written separately．Thus，
> § 10 in balaqadun cities (gen. pl.), representing the syllable $l a$, is written separately and is not joined to $b a$; while § 10 in the word bolyu , where $l$ does not form a separate syllable, is written joined to bo [...]..>

Junast (op. cit.), on the other hand, claims that the hP'ags-pa script is basically not a syllabic but a phonemic system of writing, in which the letters indicating phonemes are assembled to larger units corresponding to syllables. In this system, the vowel $a$ cannot be regarded as an inherent part of consonant letters. Rather, $a$, as opposed to the other vowels, is expressed by zero in the script. More specifically, the zero representation of $a$ is possible under any of the following contextual conditions, which apply within the graphic syllable: (1) after a single letter indicating a consonant, (2) after a single letter indicating a semivowel, (3) after the first segment in a succession of two consecutive consonant letters, (4) after the first segment in a succession of three consecutive consonant letters, (5) after each of two consecutive consonant letters of which the latter is 『 $(\cdot)$, (6) after a consonant letter followed by $y i$, as well as (7) after the letter $\boldsymbol{R}(\cdot)$ preceded by $u$.

Indeed, the conclusion made by Junast concerning the phonemic nature of the hP'ags-pa script must be correct and helps us to understand the principles of this script. At the same time, the conception that syllables are expressed as larger units composed of phonemic letters is also in accordance with what we know of the functioning of the hP'ags-pa script. For this reason, the examples of contraction listed above must, from the graphic point of view, be analyzed as reflecting a phenomenon that takes place within the syllable.

We may now go on examining the role of the letter $\mathbb{R}(\cdot)$ as an indicator of contraction. On this issue, Poppe (1. c.) writes as follows:
«Syllables with § 28 ( $\cdot a, \cdot u$, etc.) are, however, an exception. These as a rule ARE written joined to the previous syllable when the vowel of the syllable with ' is the same as the vowel of the preceding syllable, cf. $q$ an emperor II 3, d•ulqaque addressed to I 4 , etc. When the vowel of the syllable beginning with $\cdot$ is different from the vowel of the preceding syllable, these syllables are written separately, e.g., ba'ut'uqayi let (them) dismount! II.17.»

This explanation is sufficient for the first three categories of contraction as listed above. In these categories, the contracted vowels have a uniform quality: (i) $a \cdot a$, (ii) $e \cdot e$, or (iii) $u \cdot u$. The fourth category, however, requires a separate explanation, for here the vowel qualities preceding and following the letter $\mathbb{R}(\cdot)$ are different: (iv) $u \cdot a$.

In his discussion of the «zero initial symbols in the hP'ags-pa script», Junast (op. cit.) mentions examples in which the letter $\&(\cdot)$ represents
the zero initial（零聲母符號）of a medial syllable．According to him， when this letter stands at the end of the written syllable，and when the preceding vowel is $\mathbf{u}$ ，it expresses the diphthongoid connection between the components of the sequence $u a$（category iv）．On the other hand， when following a consonant letter，it expresses the lengthening of the zero－marked vowel into a long $a a$（category i）．Finally，when occuring between a consonant letter and either one of the vowel letters e u，it expresses the long vowels $e e u u$（categories ii and iii）．From the linguistic point of view，the letter $\boldsymbol{R}(\cdot)$ indicates that contraction had taken place，and that the categories of long vowels and diphthongs had been formed in the language．

We may，consequently，assume that contraction in Mongolian he＇ags－pa documents is a graphic device for expressing the long vowels and diphthongs of the underlying language．To support this assumption，the following arguments can be presented：
（1）The complexes containing the letter 民（ $\cdot$ ）are written as graphic syllables．This is in accordance with the basic principle of the hP＇ags－pa orthography，in which letters are assembled into units corresponding to the linguistic syllables of the underlying language． However，this also implies that the long vowels and diphthongs represented as contracted sequences in the script were monophonemic entities which belonged to a single linguistic syllable．
（2）As the ḥP＇ags－pa script was essentially a modified version of the Tibetan script，the cases of contraction may be compared with the similar convention of using the letter＇a－chung in Tibetan．It is well known that the latter can form syllables together with a preceding letter，indicating small qualitative and tonal changes．In certain cases，and for certain varieties of Tibetan（including modern Lhasa Tibetan），＇$a$－chung can even express long vowels or diphthongs．${ }^{3}$
（3）In initial position，the letter $\boldsymbol{R}(\cdot)$ marks the zero initial，also known as the «shadow initial»（影聲母）．According to the Mongolian rhyme book Menggu ziyun jiaoben 蒙古字韻校本 the pronunciation of the letter 『（•）is «the pronunciation of itself»．This obviously means that the letter actually had zero pronunciation，modified in each case by the actual vowel present in the syllable．${ }^{4}$ This also corresponds to the pattern exhibited by the Tibetan letter＇$a$－chung．${ }^{5}$
（4）Some cases of contraction in he＇ags－pa documents correspond to a syllable with a short vowel in Written Mongolian，while the modern spoken language has a long vowel．This very clearly indicates that the hP＇ags－pa orthography was based on the actual pronunciation of the contemporary spoken language：

| No． | hP＇ags－pa script | h．P＇ags－pa transliteration | Mongolian transcription | Modern <br> Mongolian |
| :---: | :---: | :---: | :---: | :---: |
| § 17 | Fuas 뗒 | ge－g．en | gegen | ［gege：n］ |
| § 7 | H 区떼 | c－q＊${ }^{\text {n }}$ | čayan | ［tJaga：n］ |

However，in a few exceptional examples uncontracted sequences are present in the hP＇ags－pa script：

| No． | $\begin{aligned} & \text { hP'ags-pa } \\ & \text { script } \end{aligned}$ | ḥP＇ags－pa transliteration | Mongolian script | Mongolian transcription |
| :---: | :---: | :---: | :---: | :---: |
| § 7 | 17 턴ㅈㅇ | c－q $\quad$ n |  | čaran |
| § 9 | 田区 凹ை | $\mathrm{c}-\mathrm{q}-\mathrm{n}$ | सगत／ | čaүan |
| § 1 | Hひ9世 区 E9\％ | d＇ul－q－quė |  | duүulyaqui |
| § 28 |  | du－＊ul－qan | Qunumin | duүulyan |
| § 29 | 山凹 ठத | y＇－nu | med 0 | јаүап－и |
| § 29 | 凹 凹ठ | $\mathrm{y}^{\prime} \cdot \mathrm{n}$ | mert | japan |

There are two factors behind such variation．First，the hP＇ags－pa script probably reflects the fact that the process of contraction was just taking place，in which situation contracted and uncontracted pronun－ ciations coexisted in the oral language．Second，the scribes using the hP＇ags－pa script were accustomed to treat the letter If $(\cdot)$ as the initial of a syllable．As a result，they occasionally misrepresented the cases of contraction as sequences of separate syllables．
（5）The hP＇ags－pa orthography can also be compared with that of the Secret History of the Mongols（1240），the most important Middle Mongolian document，written in terms of a phonological application of the Chinese characters．The Secret History contains occasional examples of long vowels．Even more importantly，some words in it occur in two different orthographical shapes：${ }^{6}$

| SH | Chinese <br> characters | Character <br> transcription | Mongolian <br> transcription | Gloss |
| :--- | :--- | :--- | :--- | :--- |
| §80 | 察罕 | caqān | čayan | ＇white＇ |
| $\S 87$ | 察中哈安 | caqa＇an | čayan | id． |
| $\S 145$ | 格堅 | gegēn | gegen | ＇bright＇ |
| $\S 21$ | 格格延 | gege＇en | gegen | id． |
| $\S 19$ | 客周 | kējü | keměüu | ＇saying＇ |
| $\S 184$ | 客額周 | ke＇ejü | kemeǰü | id． |
| $\S 111$ | 扎牙秃 | jayätu | ǰayayatu | ＇fortunate＇ |


| $\S 201$ | 扎牙阿秃 | jaya＇atu | ǰayayatu | id． |
| :--- | :--- | :--- | :--- | :--- |
| $\S 201$ | 亦協周 | ihējü | ibegejü | ＇protecting＇ |
| $\S 163$ | 亦赫額勒 | ihe＇el | ibegel | ＇protection＇ |

Most of the examples contain a long vowel in the modern language，as in čaүan［ţ aga：n］，gegen［gəgə：n］，ǰayaүatu［dz1ya：t］，ibegel［ibə：l］． The only exception is formed by the modern cognate of $k \bar{e} j u ̈$ ，in which an irregular shortening has taken place，actually：kemey̌ü $>k e^{\prime} e \jmath \dddot{u}>k \bar{e} \jmath \ddot{u}$ $>$ gěü $>$ geǰ［gəd3］．

On the basis of these arguments，we may confidently conclude that the spellings＇a ee •u of the ḥP＇ags－pa script reflect a regular convention for expressing the long vowels［a：］［e：］［u：］of the Middle Mongolian language． Therefore，the correct transcription of a written word like $\mathbf{q} \cdot \mathbf{n}$ is $q \bar{a} n$ ［qa：n］，not qa＇an．It may be noted that Ligeti listed the transcriptions qāa $g \bar{e} d \bar{u}$ in his table of the ḥP＇ags－pa alphabet．${ }^{7}$ Poppe also presented some new opinions concerning this issue in his preface to the Chinese edition of his monograph on the hP＇ags－pa monuments．He wrote（adapted retranslation from the Chinese）：${ }^{8}$


#### Abstract

«The hP＇ags－pa script raises some problems．The main problem concerns the marking of the symbol 区．I transliterate it as «»» following Prof．Dragunov．In other words，I use a raised dot．Prof．Dragunov writes a dot to indicate a pharyngeal stop．There is no pharyngeal stop in Mongolian，and there has probably never been one．Therefore we may say that this symbol marks in Mongolian a kind of hiatus filler，as in de ere＇upper part，above＇，expressing the continuation of vowels，as can also be seen from the Mongolian version of the «Secret History of the Mongols»． It is also possible that this symbol simply expresses the duration of vowels，as has been suggested by Prof．Clauson and Yoshibu．In Tibetan the corresponding letter actually represents the duration of vowels．It is therefore possible that the hP＇ags－pa spelling de＇ere stands for dere［misprint for：dēre－eds．］．»


Apart from the cases discussed above，the he＇ags－pa script has also another type of contraction，which is connected with sequences containing the letter $\mathbf{\omega}(\mathbf{y})$ ．Examples：

| No． | hP＇ags－pa | hP＇ags－pa | Mongolian | Mongolian |
| :--- | :--- | :--- | :--- | :--- |
| script | transliteration | script | transcription |  |

（i）

| § 8 |  | nyi－m－n |  | naiman－a |
| :---: | :---: | :---: | :---: | :---: |
| § 10 | БडС 「ष区 | ＇yi－mq | 1 max | ayima $\gamma$ |
| § 14 | 3 T 9 凹くర | y－bu－＇yi | รण！ب！ | yabuyai |
| § 14 | 区 区St | q－qyi | \％mm | raqai |


| § 24 |  | t＇w－lyi | OTuT | taulai |
| :---: | :---: | :---: | :---: | :---: |
| § 28 |  | bos－q－byi | कीmor | bosqabai |
| § 29 | 10 WアC | u－t＇yi | TuTr | utai（五台） |
| § 29 | 区 ШЗ | t－lyi | － | dalai |
| § 29 | $15<136$ | ho－ryi－yin | 10now | oroi－yin |
| § 29 | 下巡 1 NS ESC | bol－t＇u－qyi | Qิธutw | bolturai |
| § 31 | प9 M9E सडC | qu－t＇uq－t＇yi | Stoburor | qudurtai |
| § 35－4 | F10 『ठ | bo－lyi | Q45 | bolai |
| § 37 | ए9 त⿹ एड | qu－bu－lyi | S00\％\％ | qubilai |
| （ii） |  |  |  |  |
| § 28 |  | è－${ }^{\text {－}}$ d－beyi |  | egüdbei |
| § 29 | NLS | t＇eyi－mu | 9，400 | teimü |
| （iii） |  |  |  |  |
| § 25 | तर 区可ర | mo－qoyi | 10．15 | movai |
| § 35－3 | 厄く 区イЗ | no－qoyi | 100m | noqai |

The sample words of the three categories above（i to iii）contain the sequences ayi，eyi，and oyi，respectively．Contraction is in all of these cases expressed by the letter $\mathbf{y}$ ．Incidentally，according to the Menggu ziyun jiaoben the latter is also a «shadow» letter．Except when representing the syllable－initial glide［j］，it marks the end component of diphthongs like ai，ei，oi．This is fully in accordance with the analysis presented by Junast．

It is，however，important to note that diphthongs are not always expressed by means of contraction．In some cases，we find spellings with sequences like eė uė üè，as in the following examples：

| No． | hP'ags-pa script | hP＇ags－pa transliteration | Mongolian script | Mongolian transcription |
| :---: | :---: | :---: | :---: | :---: |
| § 29 |  | de－le－geė | OHM | delekei |
| § 29 | 凧碞 | k＇eė | Or | kei |
| § 29 | 5 ए® | ＇a－＇ué | 1705 | ayui |
| § 28 | सu NOT | t＇e－dué | anor | tedüi |
| § 4 | E¢9\％ETR | ＇üè－les | fontr | uiles |
| § 2 |  | ＇üė－led－t＇u－geė |  | uiledtügei |

## Notes

1 Junast，The hP＇ags－pa script and Mongolian monuments．Vol．I：Research paper collections，vol．II：Monuments collections．Tokyo：Foreign Language University，

1990．In the present paper，the letter $\mathbf{G}$ ，as used by Junast，has been replaced by $\mathbf{q}$ ， as used by Poppe．

2 Nicholas Poppe，The Mongolian monuments in ḥP＇ags－pa script．Göttinger Asia－ tischer Forschungen 8．Wiesbaden：Otto Harrassowitz，1957，p． 27.

3 Cf．the «Tibetan transliteration textbook» by the Section of Tibetan Studies of the Department of Language and Literature of National Minorities，Central Institute of Nationalities．Beijing：Nationalities Publishing House， 1983.

4 Menggu ziyun jiaoben 蒙古字韻校本，compiled by Junast（照那斯圖）and Yang Naisi（ 楊耐思 ）．Beijing：Nationalities Publishing House， 1987.

5 Additional examples of the correspondence of the hP＇ags－pa zero initial to its Tibetan counterpart are discussed in the Chinese version of the present paper，published in Xibei Minzu Yanjiu 西北民族研究 1999：1．Lanzhou，pp．22－30．

6 Igor de Rachewiltz，Index to the Secret History of the Mongols．Bloomington： Indiana University，1972．In this book，long vowels are indicated by the length sign ${ }^{-}$）above vowel letters．

7 Louis Ligeti，Monuments en écriture＇phags－pa．Pièces de chancellerie en transcription chinoise．Monumenta linguae Mongolicae collecta III．Budapest： Akadémiai kiadó，1972，p． 14.

8 Ni．Baopei 尼鮑培［Nicholas Poppe］，Basiba zi Menggu yu beiming 八思巴字蒙古語碑銘。［Translated by：］Hao Sumin 郝蘇民．Hailar：Inner Mongolia Cultural Publishing House，1986，p．vii－viii．

